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31003 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

Thereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 21, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL898648788US, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: GNUSP001

First Named Inventor: Tunstall-Pedoe

*Noriega*  
Sunshine Noriega

10/12/11  
091066/60  
S. N. 898648788US

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))  
(Regular application claiming priority of a provisional)

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

091066/60  
S. N. 898648788US  
11/21/01

Sub: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**William Tunstall-Pedoe**

For: **KNOWLEDGE STORAGE AND RETRIEVAL SYSTEM AND METHOD**

Assigned to: Genius 2000 Ltd.

Priority of U.S. Provisional Application No. 60/253,679 filed on November 28, 2000 is claimed under 35 U.S.C. § 119(e).

Application Elements:

- ☒ 106 Pages of Specification, Claims and Abstract  
☒ 21 Sheets of formal Drawings  
☐ Combined Declaration and Power of Attorney  
☐ Separate Declaration

Accompanying Application Parts:

- ☒ Do not publish this application. Nonpublication Request is attached.  
☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ Separate Power of Attorney by Assignee  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☒ Computer Program Listing Transmittal Letter and 2 duplicate CDs containing computer program listing

Claim For Foreign Priority

☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_  
is claimed under 35 U.S.C. § 119.  
☐ The certified copy is enclosed. ☐ The certified copy will follow.

☐ Amend the specification by inserting before the first line the sentence:

“This application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_  
filed on \_\_\_\_\_, the disclosure of which is incorporated herein by reference.”

Fee Calculation (37 CFR § 1.16)

☒ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

☒ **PLEASE DEFER FILING FEES AT THIS TIME**

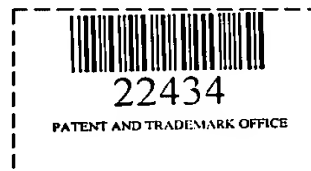
☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. GNUSP001).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. GNUSP001).

☒ Please send correspondence to the following address:

**Customer Number 022434**



Date: November 21, 2001

\_\_\_\_\_  
**Joseph M. Villeneuve**  
Registration No. 37,460

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**


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In re application of: William Tunstall-Pedoe

Attorney Docket No.: GNUSP001

Application No.: Unknown

Examiner: Not Assigned

Filed: Herewith

Group: Not Assigned

Title: KNOWLEDGE STORAGE AND  
RETRIEVAL SYSTEM AND METHOD

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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 21, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL898648788US, addressed to the Commissioner for Patents, Washington, DC 20231.

  
Sunshine Noriega

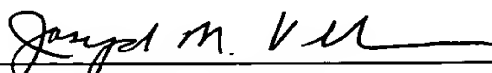
**NONPUBLICATION REQUEST AND CERTIFICATION**  
**(35 U.S.C. 122(b)(2)(B)(i))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: November 21, 2001

  
Joseph M. Villeneuve  
Registration No. 37,460

**NOTE:**

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign of international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

0950188-112101

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: William Tunstall-Pedoe

Attorney Docket No.: GNUSP001

Application No.: Unknown

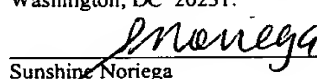
Examiner: Not Assigned

Filed: Herewith

Group: Not Assigned

Title: KNOWLEDGE STORAGE AND  
RETRIEVAL SYSTEM AND METHOD**CERTIFICATE OF EXPRESS MAILING**

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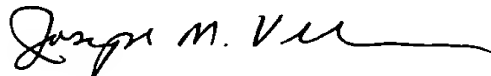
  
Sunshine Noriega**TRANSMITTAL OF COMPACT DISC UNDER § 1.52(e)3(ii)**

Commissioner for Patents  
Box Patent Applications  
Washington, D.C. 20231

Dear Sir:

A computer program listing appendix has been submitted herewith in accordance with 37 C.F.R. 1.96 and 1.52(e). The computer program listing appendix is stored in duplicate on two CD-ROMs entitled "Computer Program Listing Appendix Copy 1" and "Computer Program Listing Appendix Copy 2," respectively. Each compact disk is provided in IBM-PC machine format and is compatible with MS-DOS and Windows based operating environments. Appendix Copy 1 and Appendix Copy 2 should be identical. Each CD-ROM contains a Microsoft Word file entitled "appendix" created on October 13, 2001, and being 940 kbytes in size.

Respectfully submitted,  
BEYER WEAVER & THOMAS, LLP



P.O. Box 778  
Berkeley, CA 94704-0778

Joseph M. Villeneuve  
Reg. No. 37,460

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TOTAL 18870660